

BRENT D. MOORE

EDUCATION

Darden Graduate School of Business, Charlottesville, VA
Master of Business Administration, May 2000

University of Virginia School of Law, Charlottesville, VA
Juris Doctor, May 2000

- Licensed to Practice in the State of North Carolina

University of North Carolina at Chapel Hill, Chapel Hill, NC
Bachelor of Science, Mathematics, *With Distinction*, May 1995

- Phi Beta Kappa, Johnston Scholar, Dean's List Every Semester

EXPERIENCE

2007 – Present

ABM Capital Management, Charlotte, NC and Greensboro, NC (Hedge Fund Manager – Equity Derivatives)

- Manage a portfolio of long and short positions in equity derivatives and stocks, with average returns of 12% annually.
- Develop derivative strategies for risk management, generating income, and hedging.

2012 – 2016

Petra Haven Capital, Charlotte, NC (Portfolio Manager – Insurance Linked Securities)

- Served as the Capital Markets liaison between the lawyers and the insurance specialists.
- Participated in all aspects of building this start-up, focusing mostly on Catastrophe Bonds and Industry Loss Warrants.
- Involved heavily in the process of fundraising, structuring, and managing the CAT Bond portfolio.

2006 – 2007

Bank of America – Global Corporate and Investment Banking, Charlotte, NC (VP – Global Portfolio Strategies)

- Managed a \$3 Billion portfolio of long and short positions in credit default swaps and bonds to hedge the bank's corporate loan book – mostly Tech Media & Telecom companies.
- Conducted financial statement, technical, and relative value analysis to generate trading ideas given current secondary market trading levels.

2000 – 2006

Banc of America Securities LLC, Charlotte, NC (Vice-President – Fixed Income Trading)

- Traded short-term fixed income products including corporate commercial paper (CP) and asset-backed commercial paper. Primary trader for over 25 CP programs: over 23 Billion in total program size and over 5 Billion in current outstandings.
- Served as a junior trader on the corporate bond desks in the Banks and Finance sectors, and subsequently as a junior trader in the Asset Backed Securities (ABS) sector, trading ABS backed by Credit Cards, Auto Loans and Leases, Equipment Loans and Leases, and Student Loans.

1999

Goldman Sachs & Co., New York, NY (Summer Associate)

- Participated in an intense rotational program throughout the Equities and Wealth Management divisions.
- Offered an "open" full-time position from Goldman Sachs that allowed me to choose between Sales, Trading, Research, Private Wealth Management, Capital Markets, Risk Arbitrage and Structuring.

1998 - 1999

Moore & Van Allen, PLLC, Charlotte, NC (Summer Associate)

- Researched security law issues and drafted security agreements, credit agreements, subordination agreements, and pledge agreements for the banking team.

1997 - 1999

Kennedy, Covington, Lobdell, & Hickman, L.L.P., Charlotte, NC (Summer Associate)

- Researched various legal issues and drafted memorandums for corporate finance, intellectual property, real estate, litigation and tax groups.
- Drafted legal opinions and structured asset securitization deals for specialized finance group.

1991 – 1996

National Security Agency (NSA), Fort Meade, MD (Mathematician)

- Served as a cryptanalyst, which entailed solving various governmental problems using high-level mathematics, statistics, and other classified techniques.
- Developed highly classified mathematical models used for U.S. Government communications security and foreign intelligence production.
- Interned with NSA for three summers as mathematical analytic aide. Researched, analyzed, and developed mathematical and statistical models and programs used for specialized communications equipment.

COMMUNITY INVOLVEMENT

- 2019 – Present** **Winston Salem State University, Winston Salem, NC (Board of Trustees and Volunteer)**
- Active member of the Board of Trustees, serving on the Endowment, Student Affairs, and the Personnel and Tenure Committees.
 - Chair of the Student Affairs Committee
- 2018 – Present** **Guildford Technical Community College Greensboro, NC (Board of Trustees and Community Outreach)**
- Active Board Member and Finance Committee member since 2018.
 - Chair of the Finance Committee since 2019.
- 2018 – Present** **Sigma Pi Phi Fraternity, Beta Epsilon Boule Greensboro, NC (Executive Board since 2022)**
- Active Member and community volunteer since 2018.
 - Held leadership positions within the fraternity since 2020 (Data Manager, Rhetoricus, Social Committee)
- 2021 - Present** **Cone Health Heart and Vascular Advisory Board, Greensboro, NC (Volunteer)**
- Advise the Health Care system in strategy, fundraising, direction, and community outreach.
- 2014 – Present** **Greensboro Sports Council, Greensboro, NC (Board of Trustees and Volunteer)**
- Active volunteer and community organizer for all sporting activities in the Triad including collegiate, professional, and high school sports.
 - Member of the Board of Trustees for the Greensboro Sports Council since 2017.
- 2014 – 2017** **Greensboro Montessori School, Greensboro, NC (Board of Trustees and Community Outreach)**
- Served on the Community Outreach Committee where we volunteered and donated time and resources to those less fortunate around the Greensboro community.
 - Member of the Board of Trustees for the school since 2015.
 - Involved heavily in strategic planning, fundraising, and structuring.
- 2016 - 2020** **Guliford County School System, Greensboro, NC (Volunteer)**
- Volunteer actively at various school events as a big brother, mentor, tutor, and role model.
 - Serve on panels at school events where I offer my legal, financial, and general guidance.
 - Served as keynote speaker for the first faculty and staff meeting at Morehead Elementary School in 2016.
- 2014 - Present** **Providence Baptist Church, Greensboro, NC (Member and Committee Member)**
- Faithfully attended the church from 2014 to the present but officially joined in 2017.
 - Humbly serve on the Planned Giving Committee, specifically selected by the Pastor for financial expertise.
 - Served as Member of the Trustee Board from 2020 - 2022.
- 2012 – 2019** **Interpreters Unlimited, Charlotte, NC (Board of Trustees)**
- Served on the Board and as a strategic planner for this NC based linguistic company.
 - Participate in all aspects of building this start-up, focusing mostly on legal, business, and strategic guidance.
- 2007 – Present** **Financial Literacy Classes, Greensboro, Charlotte, and Raleigh, NC (Founder and Partner)**
- Actively teach financial literacy classes to adults and kids around the state of NC.
 - Participated in classes at UNC Charlotte, various high schools around the state, and even have done some classes at gatherings out of state.
 - Partnered with CASH, Carl A. Smith Holdings, where we put together classes, lectures, and tutoring sessions.
- 2000 – 2015** **Global Networkers, Charlotte, NC (Board of Trustees)**
- Served on the Board and as strategic planner and advisor for this NC based information technology company.
 - Participated in all aspects of guiding this start-up from inception.
 - Involved heavily in the process of selling this company to a Cincinnati based conglomerate in 2015.
- 2000 - 2013** **Mecklenburg County School System, Charlotte, NC (Volunteer)**
- Volunteered at various school events as a big brother, mentor, and tutor.
 - Served on the panel at several school events where we introduced financial literacy to students and parents.

OTHER

Series 7 & Series 63 (Licenses Active from 2000 – 2009), Bloomberg and TradeWeb Trading Systems,

Series 65 (Active Currently), NC Law License (2001 – Present)