

GRANT AWARD

Applicant: County of Guilford
Project Name: Guilford County Specialized Crisis Assessment, Coordination, and Navigation Services 2020
Authorizing Official: Marty Lawing, County Manager
Implementing Agency: County of Guilford
Address: c/o:Sharon Harrison-Pope 400 West Washington Street Greensboro, North Carolina 27401-2349
Project Director: Catherine Johnson
Vendor Number: 566000305
Project Number: PROJ014233
Account Manager: Jayne Langston
Grant Period: 10/01/2020 - 09/30/2022

Budgets

| Period | Personnel | Contractual | Travel | Supplies | Equipment | Indirect Costs | Total |
|--|--------------|-------------|----------|------------|-----------|----------------|---------------------|
| Year 1 | \$89,208.00 | \$0.00 | \$269.61 | \$5,000.00 | \$0.00 | \$0.00 | \$94,477.61 |
| Year 2 (Reserved) | \$119,976.00 | \$0.00 | \$269.61 | \$2,400.00 | \$0.00 | \$0.00 | \$122,645.61 |
| Grant with Reserve Budget Total | | | | | | | \$217,123.22 |

| Source | Federal Grant # | CFDA # | % Funding | Federal Award |
|----------------------------|-----------------|--------|-----------|---------------|
| 2020::Victims of Crime Act | 2020-V2-GX-0057 | 16.575 | 100% | \$217,123.22 |

In accordance with the laws and regulations of the United States and the State Of North Carolina, and on the basis of the grantee's application, the Department of Public Safety hereby awards to the foregoing grantee an award in the amount above.

This grant is subject to the conditions listed in the approved grant application as well as all applicable rules, regulations and conditions, as may be described by the Department of Public Safety. Special conditions are attached to this award.

This grant shall become effective, as of the start date of the grant period listed, once this original grant award has been properly executed on behalf of the grantee and returned to the Governor's Crime Commission, attention of the Grants Management Director. The grant award must be returned within 30 days of the date the award is mailed from the Governor's Crime Commission. No alterations of any kind may be made on this grant award.

The use of the second year reserve is contingent upon the successful completion of the first year of the grant, and the timely submission of all required reports.

Authorizing Official

 Signature of Authorizing Official Date
 Marty Lawing, County Manager
 Name and Title of Authorizing Official

Governor's Crime Commission

 Signed By: *Caroline C. Valand* 12/17/2020 | 14:31
 Signature of Director Date
 Caroline C. Valand, Executive Director
 Name and Title of Director

Project Director

 Signature of Project Director Date
 Catherine Johnson,
 Name and Title of Project Director

This award is subject to the attached conditions, which must be signed by both the authorizing official and the project director, and returned along with this Grant Award. No alterations of any kind may be made on this grant award.

**Award Instructions for
NC Governor's Crime Commission - Crime Victim Services Committee
Grant Awards 2020**

Dear Project Director and Authorizing Official,

Attached to this DocuSign envelope is your (1) **Award and Special Conditions** and (2) **FY 2020 Governor's Crime Commission Grant Award Supplemental Forms** packet

Instructions:

- 1. Attachment 1: Award Instructions**
 - a. Download these instructions.
 - b. Keep these instructions for your records with your agency's office grant file.

- 2. Attachment 2: Award and Special Conditions**
 - a. Sign in DocuSign as indicated by the boxes with your name:
 - i. the Award
 - ii. and each page of the Special Conditions.
 - b. The Project Director is the first to electronically receive and sign the Award and Special Conditions.
 - c. Once the Project Director has signed the Award and Special Conditions, DocuSign sends the DocuSign envelope to the Authorizing Official electronically.
 - d. Once the Authorizing Official has signed the Award and Special Conditions, DocuSign sends the DocuSign envelope back to GCC electronically.
 - e. GCC staff will review the signed Award and signed Special Conditions for completeness.

- 3. Attachment 3: FY 2020 Governor's Crime Commission Grant Award Supplemental Forms**
 - a. Download this document which contains additional forms that you must complete.
 - b. Sign or notarize the documents as indicated on each form.
 - c. Scan all of these forms as one document and title the document "FY 2020 Governor's Crime Commission Grant Award Supplemental Forms"
 - d. Upload this as an attachment to the attachments section in GEMS.
 - e. Email GCC's administrative staff that the upload is complete.
 - a. gcc_admin@ncdps.gov
 - b. For the subject line, type:
PROJ##### - FY 2020 Governor's Crime Commission Grant Award Supplemental Forms
 - f. NOTE: One form must be notarized. Notarize the form *State Grant Certification – No Overdue Tax Debts*. Keep the original notarized form in your agency's office grant file to be checked during a GCC site visit or an audit.
 - g. NOTE: If you forget to download the supplemental forms from DocuSign, these forms are now available on the GCC website for you to download under the forms section.

If you have any questions, please contact your assigned CVS planner.

Sincerely,
The CVS Planning Team

Federal Award Identification Worksheet

Federal award identification : OVC FY 2020 VOCA Victim Assistance

Project ID : PROJ014233

Subrecipient : County of Guilford

Federal Award Identification # (FAIN) : 2020-V2-GX-0057

DUNS # : 071563613

Federal award date : Oct 01, 2019 - Sep 30, 2023

Subaward period of performance start and end date : Oct 01, 2020 - Sep 30, 2022

Federal funds obligated in this agreement : \$217,123.22

Federal funds obligated to the subrecipient : \$483,953.68

Total federal award : \$52,368,267.00

Catalog of federal domestic assistance (CFDA) : Crime Victim Assistance 16.575

Indirect cost rate for the federal award : We do not receive an indirect cost rate

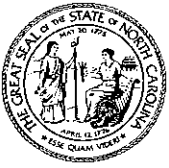
Indirect cost rate to be used by the subrecipient (either a federally-approved rate, a rate negotiated between the pass-through entity and the subrecipient, or the de minimis indirect cost rate) : Direct/Allocated Costs Only

Identification of whether the award is research and development (R&D) : We do not fund research and development

Pass through entity and awarding official : NC Governor's Crime Commission
Caroline C. Valand
caroline.valand@ncdps.gov

Federal awarding agency : USDOJ Office for Victims of Crime

Federal award description : This grant program is authorized and funded by the Victims of Crime Act (VOCA) of 1984 (34 U.S.C. § 20103).



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**CRIME VICTIMS' SERVICES COMMITTEE
 2020 GRANT AWARD
 SPECIAL CONDITIONS
 VICTIMS OF CRIME ACT FUNDING**

SUBRECIPIENT: County of Guilford
DUNS NUMBER: 071563613
GRANT TITLE: Guilford County Specialized Crisis Assessment, Coordination, and Navigation Services 2020
PROJECT NUMBER: PROJ014233

By acceptance of this award, the subrecipient agrees to comply with the terms and conditions detailed below. Failure to comply with these terms and conditions as established by U.S. Department of Justice, Office of Justice Programs (OJP) and the N.C. Department of Public Safety, Governor's Crime Commission (GCC) may result in the loss of federal funds and may be considered grounds for the suspension or termination of this award.

This award is subject to the following terms, conditions and provisions:

1. Requirements of this award; remedies for non-compliance or for materially false statements (Revised 2020)

The conditions of this award are material requirements of the award. Compliance with any assurances or certifications submitted by or on behalf of the subrecipient that relate to conduct during the period of performance also is a material requirement of this award.

Limited Exceptions. In certain special circumstances, the U.S. Department of Justice (DOJ) may determine that it will not enforce, or enforce only in part, one or more requirements otherwise applicable to this award. Any such exceptions regarding enforcement, including any such exceptions made during the period of performance, are (or will be during the period of performance) set out through the OJP webpage entitled "Legal Notices: Special circumstances as to particular award conditions" (<https://ojp.gov/funding/LegalNotices-AwardReqt.htm>), and incorporated by reference into this award.

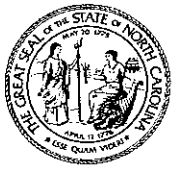
The subrecipient certifies that it has the authority to make the following representations on behalf of the applicant agency and that these representations will be relied upon as material in any GCC decision to make an award to the subrecipient based on the application submitted.

The subrecipient certifies that it has the legal authority to apply for the federal assistance sought by the application, and that it has the institutional, managerial, and financial capability (including funds sufficient to pay any required non-federal share of project costs) to plan, manage, and complete the project described in the application properly.

A. The subrecipient assures that, throughout the period of performance for this award, it will –

- (1) comply with all award requirements and all federal statutes and regulations applicable to this award;

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| Authorizing Official: _____ Marty Lawing | Project Director: _____ Catherine Johnson |
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- (2) maintain safeguards to address and prevent any organizational conflict of interest, and also to prohibit employees from using their positions in any manner that poses, or appears to pose, a personal or financial conflict of interest.

By signing and accepting this award on behalf of the subrecipient, the authorized subrecipient official accepts all material requirements of the award, and specifically adopts, as if personally executed by the authorized subrecipient official, all assurances or certifications submitted by and on behalf of the subrecipient that relate to conduct during the period of performance.

Failure to comply with any one or more of these award requirements – whether a condition set out in full below, a condition incorporated by reference below, or an assurance or certification related to conduct during the award period – may result in GCC taking appropriate action with respect to the subrecipient and the award. Among other things, GCC may withhold award funds, disallow costs, or suspend or terminate the award. GCC also may take other legal action as appropriate.

Any materially false, fictitious, or fraudulent statement made to GCC or to the federal government, related to this award (or concealment or omission of a material fact), may be the subject of criminal prosecution (including under 18 U.S.C. 1001 and/or 1621, and/or 34 U.S.C. 10271-10273), and also may lead to imposition of civil penalties and administrative remedies for false claims or otherwise (including under 31 U.S.C. 3729-3730 and 3801-3812).

The Executive Director of GCC, upon a finding that there has been substantial failure by the subrecipient to comply with applicable laws, regulations, and/or terms and conditions of this award, may terminate or suspend grant funding until the Executive Director is satisfied that such failure no longer exists.

Should any provision of a requirement of this award be held to be invalid or unenforceable by its terms, that provision shall first be applied with a limited construction so as to give it the maximum effect permitted by law. Should it be held, instead, that the provision is utterly invalid or -unenforceable, such provision shall be deemed severable from this award.

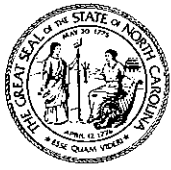
2. Applicability of Part 200 Uniform Requirements

The Uniform Administrative Requirements, Cost Principles, and Audit Requirements in 2 C.F.R. Part 200, as adopted and supplemented by the United States Department of Justice (DOJ) in 2 C.F.R. Part 2800 (together, the "Part 200 Uniform Requirements") apply to this FY 2020 award from OJP.

The Part 200 Uniform Requirements were first adopted by DOJ on December 26, 2014. If this FY 2020 award supplements funds previously awarded by OJP under the same award number (e.g., funds awarded during or before December 2014), the Part 200 Uniform Requirements apply with respect to all funds under that award number (regardless of the award date, and regardless of whether derived from the initial award or a supplemental award) that are obligated on or after the acceptance date of this FY 2020 award.

For more information and resources on the Part 200 Uniform Requirements as they relate to OJP awards and

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subawards ("subgrants"), see the OJP website at <https://ojp.gov/funding/Part200UniformRequirements.htm>.

Record retention and access: Records pertinent to this award that the subrecipient must retain – typically for a period of three (3) years from the date of submission of the final reimbursement report, unless a different retention period applies – and to which the subrecipient must provide access, include performance measurement information, in addition to the financial records, supporting documents, statistical records, and other pertinent records indicated at 2 C.F.R. Part 200.333.

In the event that an award-related question arises from documents or other materials prepared or distributed by OJP that may appear to conflict with, or differ in some way from, the provisions of the Part 200 Uniform Requirements, the subrecipient is to contact GCC promptly for clarification.

3. Compliance with DOJ Grants Financial Guide

References to the DOJ Grants Financial Guide are to the DOJ Grants Financial Guide as posted on the OJP website (currently, the "DOJ Grants Financial Guide" available at <https://ojp.gov/financialguide/DOJ/index.htm>), including any updated version that may be posted during the period of performance. The subrecipient agrees to comply with the DOJ Grants Financial Guide.

4. Compliance with GCC Grants Management Guidelines

The subrecipient agrees to comply with all of the GCC Grants Management Guidelines as posted on the GCC website (currently, the "Governor's Crime Commission Grants Management Guidelines 2020 - 2021" available at <https://files.nc.gov/ncdps/documents/files/GCC-Grant-Management-Guidelines-2020-2021.pdf>), including any updated version that may be posted during the period of performance.

5. Reclassification of various statutory provisions to a new Title 34 of the United States Code

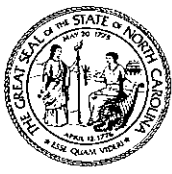
On September 1, 2017, various statutory provisions previously codified elsewhere in the U.S. Code were editorially reclassified (that is, moved and renumbered) to a new Title 34, entitled "Crime Control and Law Enforcement." The reclassification encompassed a number of statutory provisions pertinent to OJP funding awarded by GCC, including many provisions previously codified in Title 42 of the U.S. Code.

Effective as of September 1, 2017, any reference in this award document to a statutory provision that has been reclassified to the new Title 34 of the U.S. Code is to be read as a reference to that statutory provision as reclassified to Title 34. This rule of construction specifically includes references set out in award conditions, references set out in material incorporated by reference through award conditions, and references set out in other award requirements.

6. Requirements related to "de minimis" indirect cost rate

A subrecipient that is eligible under the Part 200 Uniform Requirements and other applicable law to use the "de minimis" indirect cost rate described in 2 C.F.R. Part 200.414(f), and that elects to use the "de minimis" indirect cost rate, must advise GCC in writing of both its eligibility and its election, and must comply with all

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associated requirements in the Part 200 Uniform Requirements. The "de minimis" rate may be applied only to modified total direct costs (MTDC) as defined by the Part 200 Uniform Requirements.

7. Requirement to report duplicative funding

If the subrecipient currently has active awards for federal funds, or if the subrecipient receives any other award of federal funds during the period of performance for this award, the subrecipient promptly must determine whether funds from any of those other federal awards have been, are being, or are to be used (in whole or in part) for one or more of the identical cost items for which funds are provided under this award. If so, the subrecipient must promptly notify GCC in writing of the potential duplication, and if so requested by GCC, must seek a budget-modification or change of scope grant adjustment to eliminate and inappropriate duplication of funding.

8. Requirements related to System for Award Management and Universal Identifier Requirements

The subrecipient must comply with applicable requirements regarding the System for Award Management (SAM), currently accessible at <https://www.sam.gov/>. This includes applicable requirements regarding registration with SAM, as well as maintaining the currency of information in SAM.

The details of the subrecipients obligations related to SAM and to unique entity identifiers are posted on the OJP website at <https://ojp.gov/funding/Explore/SAM.htm> (Award condition: System for Award Management (SAM) and Universal Identifier Requirements) and are incorporated by reference here.

9. Employment eligibility verification for hiring under this award

A. The subrecipient must –

- (1) Ensure that, as part of the hiring process for any position within the United States that is or will be funded (in whole or in part) with award funds, the subrecipient properly verifies the employment eligibility of the individual who is being hired, consistent with the provisions of 8 U.S.C. 1324a(a)(1) and (2).
- (2) Notify all persons associated with the subrecipient who are or will be involved in activities under this award of both –
 - (a) this award requirement for verification of employment eligibility, and
 - (b) the associated provisions in 8 U.S.C. 1324a(a)(1) and (2) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.
- (3) Provide training (to the extent necessary) to those persons required by this condition to be notified of the award requirement for employment eligibility verification and of the associated provisions of 8 U.S.C. 1324a(a)(1) and (2).

Authorizing Official: _____
 Marty Lawing

Project Director: _____
 Catherine Johnson



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- (4) As part of the recordkeeping for this award (including pursuant to the Part 200 Uniform Requirements), maintain records of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

B. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

D. Rules of construction

- (1) Staff involved in the hiring process

For purposes of this condition, persons "who are or will be involved in activities under this award" specifically includes (without limitation) any and all subrecipient officials or other staff who are or will be involved in the hiring process with respect to a position that is or will be funded (in whole or in part) with award funds.

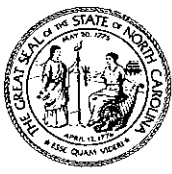
- (2) Employment eligibility confirmation with E-Verify

For purposes of satisfying the requirement of this condition regarding verification of employment eligibility, the subrecipient may choose to participate in, and use, E-Verify (www.e-verify.gov), provided an appropriate person authorized to act on behalf of the subrecipient uses E-Verify (and follows the proper E-Verify procedures, including in the event of a "Tentative Non-confirmation" or a "Final Non-confirmation") to confirm employment eligibility for each hiring for a position in the United States that is or will be funded (in whole or in part) with award funds.

- (3) "United States" specifically includes the District of Columbia, Puerto Rico, Guam, the Virgin Islands of the United States, and the Commonwealth of the Northern Mariana Islands.
- (4) Nothing in this condition shall be understood to authorize or require any subrecipient or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.
- (5) Nothing in this condition, including in paragraph 8.D.(2), shall be understood to relieve the subrecipient, or any person or other entity, of any obligation otherwise imposed by law, including 8 U.S.C. 1324a(a)(1) and (2).

Questions about E-Verify should be directed to the United States Department of Homeland Security (DHS). For more information about E-Verify visit the E-Verify website (<https://www.e-verify.gov/>) or email E-Verify at E-Verify@dhs.gov. E-Verify employer agents can email E-Verify at VerifyEmployerAgent@dhs.gov.

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Questions about the meaning or scope of this condition should be directed to OJP, before award acceptance.

10. Requirement to report actual or imminent breach of personally identifiable information (PII)

The subrecipient must have written procedures in place to respond in the event of an actual or imminent "breach" (OMB M-17-12) if it –

- (1) creates, collects, uses, processes, stores, maintains, disseminates, discloses, or disposes of "personally identifiable information (PII)" (2 C.F.R. Part 200.79) within the scope of an OJP grant-funded program or activity, or
- (2) uses or operates a "Federal information system" (OMB Circular A-130). The subrecipient's breach procedures must include a requirement to report actual or imminent breach of PII to GCC no later than 24-hours after an occurrence of an actual breach, or the detection of an imminent breach.

11. Specific post-award approval required to use a noncompetitive approach in any procurement contract that would exceed \$250,000

The subrecipient must comply with all applicable requirements to obtain specific advance approval to use a noncompetitive approach in any procurement contract that would exceed the Simplified Acquisition Threshold (currently, \$250,000). This condition applies to agreements that – for purposes of federal grants administrative requirements – OJP considers a procurement "contract" (and therefore does not consider a subaward).

The details of the requirement for advance approval to use a noncompetitive approach in a procurement contract under an OJP award are posted on the OJP website at <https://ojp.gov/funding/Explore/NoncompetitiveProcurement.htm> (Award condition: Specific post-award approval required to use a noncompetitive approach in a procurement contract (if contract would exceed \$250,000)), and are incorporated by reference here.

12. Unreasonable restrictions on competition under this award

SCOPE. This condition applies with respect to any procurement of services that are funded (in whole or in part) by this award, by the subrecipient, regardless of the dollar amount of the purchase or acquisition, the method of procurement, or the nature of any legal instrument used.

A. No discrimination, in procurement transactions, against associates of the federal government

Consistent with the (DOJ) Part 200 Uniform Requirements – including as set out at 2 C.F.R. Part 200.300 (requiring awards to be "manage[d] and administer[ed] in a manner so as to ensure that federal funding is expended and associated programs are implemented in full accordance with U.S. statutory and public policy requirements") and Part 200.319(a) (generally requiring "[a]ll procurement transactions [to] be

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conducted in a manner providing full and open competition" and forbidding practices "restrictive of competition," such as "[p]lacing unreasonable requirements on firms in order for them to qualify to do business" and taking "[a]ny arbitrary action in the procurement process") – no subrecipient may (in any procurement transaction) discriminate against any person or entity on the basis of such person or entity's status as an "associate of the federal government" (or on the basis of such person or entity's status as a parent, affiliate, or subsidiary of such an associate), except as expressly set out in 2 C.F.R. Part 200.319(a) or as specifically authorized by DOJ.

B. Allowable costs

To the extent that such costs are not reimbursed under any other federal program, award funds may be obligated for the reasonable, necessary, and allocable costs (if any) of actions designed to ensure compliance with this condition.

C. Rules of construction

(1) The term "associate of the federal government" means any person or entity engaged or employed (in the past or at present) by or on behalf of the federal government – as an employee, contractor or subcontractor (at any tier), grant recipient or subrecipient (at any tier), agent, or otherwise – in undertaking any work, project, or activity for or on behalf of (or in providing goods or services to or on behalf of) the federal government, and includes any applicant for such employment or engagement, and any person or entity committed by legal instrument to undertake any such work, project, or activity (or to provide such goods or services) in the future.

(2) Nothing in this condition shall be understood to authorize or require any subrecipient or any person or other entity, to violate any federal law, including any applicable civil rights or nondiscrimination law.

13. Requirements pertaining to prohibited conduct related to trafficking in persons (including reporting requirements and OJP authority to terminate award)

The subrecipient must comply with all applicable requirements (including requirements to report allegations) pertaining to prohibited conduct related to the trafficking of persons, whether on the part of the subrecipient or individuals defined (for purposes of this condition) as "employees" of the subrecipient.

The details of the subrecipient's obligations related to prohibited conduct related to trafficking in persons are posted on the OJP website at <https://ojp.gov/funding/Explore/ProhibitedConduct-Trafficking.htm> (Award condition: Prohibited conduct by subrecipients related to trafficking in persons (including reporting requirements and OJP authority to terminate this award)) and are incorporated by reference here.

14. Determination of suitability to interact with participating minors

SCOPE. This condition applies to this award if it is indicated in the application for funding as approved by GCC, through the GCC Request for Applications, that a purpose of some or all of the activities to be carried out

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under this award is to benefit a set of individuals under 18 years of age.

The subrecipient must make determinations of suitability before certain individuals may interact with participating minors. This requirement applies regardless of an individual's employment or volunteer status.

The details of this requirement are posted on the OJP website at <https://ojp.gov/funding/Explore/Interact-Minors.htm> (Award condition: Determination of suitability required, in advance, for certain individuals who may interact with participating minors) and are incorporated by reference here.

15. Compliance with applicable rules regarding approval, planning, and reporting of conferences, meetings, trainings, and other events

The subrecipient must comply with all applicable laws, regulations, policies, and official DOJ and GCC guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (as that term is defined by DOJ), including the provision of food and/or beverages at such conferences, and costs of attendance at such conferences.

Information on the pertinent DOJ definition of conferences and the rules applicable to this award appears in the DOJ Grants Financial Guide (currently, as section 3.10 of "Postaward Requirements" in the "DOJ Grants Financial Guide").

16. Requirement for data on performance and effectiveness under this award

- A. The subrecipient must submit a Subgrant Award Report (SAR) to GCC for any and all awards receiving Victims of Crime Act (VOCA) victim assistance funds, within thirty (30) days of receiving this award. The subrecipient must submit this report through the GEMS system.
- B. The subrecipient must collect and maintain data that measure the performance and effectiveness of work performed under this award. Subrecipients are to submit VOCA performance reports through the OVC-Performance Measurement Tool (OVC-PMT) system. The data must be entered into the OVC-PMT system within 30 days after the end of each quarterly reporting period. Due dates are posted in the GEMS system under "VOCA Reports." Data collection supports compliance with the Government Performance and Results Act (GPRA) and the GPRA Modernization Act of 2010, and other applicable laws.

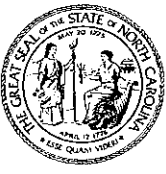
17. OJP Training Guiding Principles

Any training or training materials that the subrecipient develops or delivers with OJP award funds must adhere to the OJP Training Guiding Principles for Grantees and Subgrantees, available at <https://ojp.gov/funding/Implement/TrainingPrinciplesForGrantees-Subgrantees.htm>.

18. Effect of failure to address audit issues

The subrecipient understands and agrees that GCC may withhold award funds, or may impose other related requirements, if the subrecipient does not satisfactorily and promptly address outstanding issues from audits

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required by the Part 200 Uniform Requirements (or by the terms of this award), or other outstanding issues that arise in connection with audits, investigations, or reviews of DOJ awards.

19. Potential imposition of additional requirements

The subrecipient agrees to comply with any additional requirements that may be imposed by GCC during the period of performance for this award, if the subrecipient is designated as "high risk" for purposes of the GCC or DOJ high-risk grantee list.

20. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 42

The subrecipient must comply with all applicable requirements of 28 C.F.R. Part 42, specifically including any applicable requirements in Subpart E of 28 C.F.R. Part 42 that relate to an equal employment opportunity program.

21. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 54

The subrecipient must comply with all applicable requirements of 28 C.F.R. Part 54, which relates to nondiscrimination on the basis of sex in certain "education programs."

22. Compliance with DOJ regulations pertaining to civil rights and nondiscrimination - 28 C.F.R. Part 38

The subrecipient must comply with all applicable requirements of 28 C.F.R. Part 38 (as may be applicable from time to time), specifically including any applicable requirements regarding written notice to program beneficiaries and prospective program beneficiaries.

Currently, among other things, 28 C.F.R. Part 38 includes rules that prohibit specific forms of discrimination on the basis of religion, a religious belief, a refusal to hold a religious belief, or refusal to attend or participate in a religious practice. Part 38, currently, also sets out rules and requirements that pertain to subrecipient organizations that engage in or conduct explicitly religious activities, as well as rules and requirements that pertain to subrecipients that are faith-based or religious organizations.

The text of 28 C.F.R. Part 38 is available via the Electronic Code of Federal Regulations (currently accessible at <https://www.ecfr.gov/cgi-bin/ECFR?page=browse>), by browsing to Title 28-Judicial Administration, Chapter 1, Part 38, under e-CFR "current" data.

23. Compliance with additional DOJ regulations pertaining to civil rights and nondiscrimination

The subrecipient understands that the federal statutes and regulations applicable to this award (if any) made by GCC, based on the subrecipient's application, specifically include statutes and regulations pertaining to civil rights and nondiscrimination, and, in addition –

- A. the subrecipient understands that the applicable statutes pertaining to civil rights will include section 601 of the Civil Rights Act of 1964 (42 U.S.C. 2000d); section 504 of the Rehabilitation Act of 1973 (29 U.S.C.

Authorizing Official: _____
 Marty Lawing

Project Director: _____
 Catherine Johnson



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794); section 901 of the Education Amendments of 1972 (20 U.S.C. 1681); and section 303 of the Age Discrimination Act of 1975 (42 U.S.C. 6102);

- B. the subrecipient understands that the applicable statutes pertaining to nondiscrimination may include section 809(c) of Title I of the Omnibus Crime Control and Safe Streets Act of 1968 (34 U.S.C. 10228(c)); section 1407(e) of the Victims of Crime Act of 1984 (34 U.S.C. 20110(e)); section 299A(b) of the Juvenile Justice and Delinquency Prevention Act of 2002 (34 U.S.C. 11182(b)); and that the grant condition set out at section 40002(b)(13) of the Violence Against Women Act (34 U.S.C. 12291(b)(13)), which will apply to all awards made by the Office on Violence Against Women, also may apply to an award made otherwise;
- C. the subrecipient understands that it must comply with all such applicable statutes (and associated regulations); and
- D. on behalf of the agency, the subrecipient makes specific assurances set out in 28 C.F.R. Parts 42.105 and 42.204.
- E. may include, but are not limited to, 2 C.F.R. Part 2800 (the DOJ "Part 200 Uniform Requirements) and 28 C.F.R. Parts 22 (confidentiality – research and statistical information), 23 (criminal intelligence systems), 38 (regarding faith-based or religious organizations participating in federal financial assistance programs), and 46 (human subjects protection).

24. Restrictions on "Lobbying"

In general, as a matter of federal law, federal funds awarded by OJP may not be used by the subrecipient, either directly or indirectly, to support or oppose the enactment, repeal, modification, or adoption of any law, regulation, or policy, at any level of government. See 18 U.S.C. 1913. (There may be exceptions if an applicable federal statute specifically authorizes certain activities that otherwise would be barred by law.)

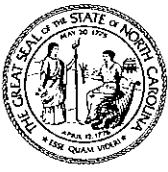
Another federal law generally prohibits federal funds awarded by OJP from being used by the subrecipient to pay any person to influence (or attempt to influence) a federal agency, a Member of Congress, or Congress (or an official or employee of any of them) with respect to the awarding of a federal grant or cooperative agreement, subgrant, contract, subcontract, or loan, or with respect to actions such as renewing, extending, or modifying any such award. See 31 U.S.C. 1352. Certain exceptions to this law apply, including an exception that applies to Indian tribes and tribal organizations.

Should any question arise as to whether a particular use of federal funds awarded by GCC to a subrecipient would or might fall within the scope of these prohibitions, the subrecipient is to contact GCC for guidance and may not proceed without the express prior written approval of GCC.

25. Compliance with general appropriations-law restrictions on the use of federal funds (FY 2020)

The subrecipient must comply with all applicable restrictions on the use of federal funds set out in federal appropriations statutes. Pertinent restrictions that may be set out in applicable appropriations acts are indicated at <https://ojp.gov/funding/Explore/FY20AppropriationsRestrictions.htm> and are incorporated by reference here. Should a question arise as to whether a particular use of federal funds by the subrecipient

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| Authorizing Official: _____ Marty Lawing | Project Director: _____ Catherine Johnson |
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would or might fall within the scope of an appropriations-law restriction, the subrecipient is to contact GCC for guidance and may not proceed without the express prior written approval of GCC.

26. Reporting potential fraud, waste, and abuse, and similar misconduct

The subrecipient must promptly refer to the DOJ Office of the Inspector General (OIG) and GCC any credible evidence that a principal, employee, agent, contractor, subcontractor, or other person has, in connection with funds under this award –

- (1) submitted a claim that violates the False Claims Act; or
- (2) committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct.

Potential fraud, waste, abuse, or misconduct involving or relating to funds under this award should be reported to the OIG by

- (1) online submission is accessible via the OIG webpage at <https://oig.justice.gov/hotline/contact-grants.htm> (select "Submit Report Online");

- (2) mail directed to:

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| Office of the Inspector General U.S. Department of Justice, Investigations Division 950 Pennsylvania Avenue, NW Washington, DC 20530 and/or | and | N.C. Governor's Crime Commission 1201 Front Street Raleigh, NC 27609 (919) 733-4564 |
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- (3) facsimile directed to the DOJ OIG Investigations Division (Attn: Grantee Reporting) at (202) 616-9881 and to GCC at (919) 733-4625.

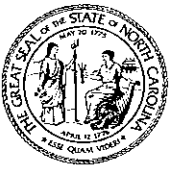
Additional information is available from the DOJ OIG website at <https://oig.justice.gov/hotline>.

27. Restrictions and certifications regarding non-disclosure agreements and related matters

No subrecipient receiving funding awarded by GCC may require any employee or contractor to sign an internal confidentiality agreement or statement that prohibits or otherwise restricts, or purports to prohibit or restrict, the reporting (in accordance with law) of waste, fraud, or abuse to an investigative or law enforcement representative of a federal department or agency authorized to receive such information.

The foregoing is not intended and shall not be understood by the agency making this award, to contravene requirements applicable to Standard Form 312 (which relates to classified information), Form 4414 (which relates to sensitive compartmented information), or any other form issued by a federal department or agency

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| Authorizing Official: _____ <div style="text-align: center; margin-top: 5px;">Marty Lawing</div> | Project Director: _____ <div style="text-align: center; margin-top: 5px;">Catherine Johnson</div> |
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governing the nondisclosure of classified information.

A. In accepting this award, the subrecipient –

- (1) represents that it neither requires nor has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
- (2) certifies that, if it learns or is notified that it is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately notify GCC of the activity by providing prompt written/email notification as soon as it becomes aware of the activity.

B. If the subrecipient does or is authorized under this award to make procurement contracts –

- (1) it represents that –
 - (a) it has determined that no other entity that the subrecipient's application proposes may or will receive award funds either requires or has required internal confidentiality agreements or statements from employees or contractors that currently prohibit or otherwise currently restrict (or purport to prohibit or restrict) employees or contractors from reporting waste, fraud, or abuse as described above; and
 - (b) it has made appropriate inquiry, or otherwise has an adequate factual basis, to support this representation; and
- (2) it certifies that, if it learns or is notified that any contractor, or subcontractor entity that receives funds under this award is or has been requiring its employees or contractors to execute agreements or statements that prohibit or otherwise restrict (or purport to prohibit or restrict), reporting of waste, fraud, or abuse as described above, it will immediately stop any further obligations of award funds to or by that entity, will provide prompt written notification to GCC, and will resume (or permit resumption of) such obligations only if expressly authorized to do so by GCC.

28. Compliance with 41 U.S.C. 4712 (including prohibitions on reprisal; notice to employees)

The subrecipient must comply with, and is subject to, all applicable provisions of 41 U.S.C. 4712, including all applicable provisions that prohibit, under specified circumstances, discrimination against an employee as reprisal for the employee's disclosure of information related to gross mismanagement of a federal grant, a gross waste of federal funds, an abuse of authority relating to a federal grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a federal grant.

The subrecipient also must inform its employees, in writing (and in the predominant native language of the workforce), of employee rights and remedies under 41 U.S.C. 4712.

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Should a question arise as to the applicability of the provisions of 41 U.S.C. 4712 to this award, the subrecipient is to contact GCC for guidance.

29. Encouragement of policies to ban text messaging while driving

Pursuant to Executive Order 13513, "Federal Leadership on Reducing Text Messaging While Driving," 74 Fed. Reg. 51225 (October 1, 2009), DOJ encourages subrecipients to adopt and enforce policies banning employees from text messaging while driving any vehicle during the course of performing work funded by this award, and to establish workplace safety policies and conduct education, awareness, and other outreach to decrease crashes caused by distracted drivers.

30. Requirement to disclose whether subrecipient is designated "high risk" by a federal grant-making agency outside of DOJ

If the subrecipient is designated "high risk" by GCC or a federal grant making agency, currently or at any time during the course of the period of performance under this award, the subrecipient must disclose that fact and certain related information to GCC and to OJP (by email at OJP.ComplianceReporting@ojp.usdoj.gov). For purposes of this disclosure, high risk includes any status under which GCC provides additional oversight due to the subrecipient's past performance, or other programmatic or financial concerns with the subrecipient. The subrecipient's disclosure must include the date the subrecipient was designated high risk, the name, phone number, and email address of its GCC Grant Administrator, and the reasons for the high-risk status, as set out by GCC.

31. Discrimination Findings

The subrecipient assures that in the event that a Federal or State court or Federal or State administrative agency makes a finding of discrimination after a due process hearing on the grounds of race, religion, national origin, sex, or disability against any person who has received victim assistance formula funds under this award, the subrecipient will forward a copy of the findings to the Office for Civil Rights of OJP.

32. VOCA Requirements

The subrecipient assures it will comply with the conditions of the Victims of Crime Act (VOCA) of 1984, sections 1404(a)(2), and 1404(b)(1) and (2), 34 U.S.C. 20103(a)(2) and (b)(1) and (2) (and the applicable program guidelines and regulations), as required. Specifically, the subrecipient certifies that funds under this award cannot be used to supplant other Federal, State and local public funds that would otherwise be available for crime victim assistance, 34 U.S.C. 20103(a)(2).

33. Access to and the right to examine award related documentation

The subrecipient must authorize that GCC staff, the Office for Victims of Crime (OVC), and/or the Office of the Chief Financial Officer (OCFO), and its representatives will have access to and the right to examine all paper and electronic records, books, and documents related to this award.

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34. Proposed published reports and publications

The subrecipient agrees to submit one copy of all proposed reports to be published and proposed publications, funded under this project, not less than twenty (20) days prior to public release for GCC review. Prior review and approval of a report or publication is required if award funds are to be used to publish or distribute reports and publications developed under this award.

All materials and publications (written, visual, or sound) resulting from award activities shall contain the following statements: "This project was supported by Grant No. 2020-V2-GX-0057 awarded by the NC Governor's Crime Commission as the State Administering Agency for funds awarded by the Office for Victims of Crime, U.S. Department of Justice. The opinions, findings, conclusions, and recommendations expressed in this publication, program/exhibition are those of the author(s) and do not necessarily reflect the views of the NC Governor's Crime Commission or the U.S. Department of Justice, Office for Victims of Crime."

35. Conflict of interest policies

The subrecipient agrees to establish safeguards to prohibit employees and/or, in the case of a non-profit agency, board members from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

Further, the subrecipient is required to submit a board approved "Conflict of Interest" policy to GCC prior to any funds being released for this project. This is in accordance with N.C.G.S. § 143C-6-23. (This must be returned with the signed grant award and special conditions).

36. Contracts

The subrecipient agrees that all contracts must be submitted to and approved by GCC prior to execution. Any expenses incurred will be in violation of this award if such expenses are incurred prior to the approval of the contract. Any rate at or over \$81.25/hour or \$650/day must be justified in writing to GCC and receive prior approved. All Sole Source contracts must receive prior approval from GCC.

37. Personnel Modifications

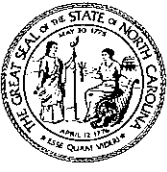
All requests to transfer funds from a personnel line item to any other budget category will require justification and will be subject to a high degree of scrutiny by GCC.

The subrecipient further agrees that ALL personnel whose activities are to be charged to this award will maintain timesheets to document hours worked and the activities related to this award as well as to non-award-related activities.

38. Failure to submit programmatic or financial reports in a timely manner

The subrecipient acknowledges that failure to submit programmatic or financial reports in a timely manner may result in the termination of this award or designation as a 'high-risk' organization. Upon termination, all

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outstanding reimbursements will be forfeited by the subrecipient.

39. Restrictions with construction, renovation, or remodeling activities

The subrecipient assures that the following activities will not be conducted utilizing funding from this award –

- A. New construction
- B. Any renovation or remodeling of a property either
 - (1) Listed on or eligible for listing on the National Register of Historical Places or
 - (2) Located within a 100-year floodplain.
- C. A renovation which will change the basic prior use of a facility or significantly change its size.
- D. Research and technology whose anticipated and future application could be expected to have an effect on the environment.
- E. The implementation of a program involving the use of chemicals.

40. Compliance with the National Historic Preservation Act, the Archeological and Historical Preservation Act, and the National Environmental Policy Act

The subrecipient assures that it will assist GCC, as necessary, to comply with section 106 of the National Historic Preservation Act of 1966 (54 U.S.C. 306108), the Archeological and Historical Preservation Act of 1974 (54 U.S.C. 312501-312508), and the National Environmental Policy Act of 1969 (42 U.S.C. 4321-4335), and 28 C.F.R. Parts 61 (NEPA) and 63 (floodplains and wetlands).

41. Continuation of funding

The subrecipient understands that the awarding of this grant in no way assures or implies continuation of funding beyond the project duration indicated on the current grant award. If a continuation application is approved by GCC, funds available under the current award and corresponding cash matching funds must be expended or obligated and documented prior to the implementation of the new, continuation grant and expenditure of new funds.

42. Compliance with the Uniform Relocation Assistance and Real Property Acquisitions Act and 5 U.S.C. Chapter 15 – Political Activity of Certain State and Local Employees

The subrecipient assures, if it is a governmental entity, with respect to this award (if any), it will comply with –

- A. the requirements of the Uniform Relocation Assistance and Real Property Acquisitions Act of 1970 (42 U.S.C. 4601-4655), which govern the treatment of persons displaced as a result of federal and federally assisted programs; and

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- B. comply with requirements of 5 U.S.C. 1501-1508 and 7324-7328, which limit certain political activities of State or local government employees whose principal employment is in connection with an activity financed in whole or in part by federal assistance.

Authorizing Official: _____
Marty Lawing

Project Director: _____
Catherine Johnson

Award Attachment 01 –
Equal Employment Opportunity Plan
Certification

**FY2020 Governor's Crime Commission Grant Award Supplemental
Form: Award Attachment 01 – Equal Employment Opportunity
Plan Certification**

An Equal Employment Opportunities Plan (EEOP) is a workforce report that some organizations must complete as a condition for receiving Justice Department funding authorized by the Omnibus Crime Control and Safe Streets Act of 1968. The EEOP's purpose is to ensure that subrecipients of financial assistance from the Justice Department are providing equal employment opportunities to men and women regardless of sex, race or national origin. Federal regulations establishing the EEOP requirement also link a diverse workforce to effective law enforcement:

The experience of the [Justice Department] in implementing its responsibilities under the Omnibus Crime Control and Safe Streets Act of 1968, as amended, . . . has demonstrated that the full and equal participation of women and minority individuals in employment opportunities in the criminal justice system is a necessary component to the Safe Streets Act's program to reduce crime and delinquency in the United States. 28 C.F.R. § 42.301.

Justice Department regulations pertaining to the development of a comprehensive EEOP can be found at 28 C.F.R. § 42.301-.308.

The US Department of Justice Office of Civil Rights (OCR) developed the EEOP Utilization Report to help recipients comply with the EEOP regulations. Instead of requiring subrecipients to report all of the employment data that federal regulations require them to keep (see 28 C.F.R. § 42.301-.308), OCR uses the Report to prompt subrecipients to collect and analyze key employment data, organized by race, national origin and sex. OCR also uses the Report as an initial screening tool. If OCR's review of an agency's Report indicates that a more thorough examination of employment practices may be appropriate, it may request that the subrecipient provide additional employment data.

Subrecipients subject to the authority of the Safe Streets Act (as well as subrecipients of VOCA, VAWA and OJJDP funds) must develop an EEOP if they meet **ALL** of the following criteria:

- The subrecipient is a state or local government agency or a business; AND
- The subrecipient has 50 or more employees; AND
- The subrecipient has received a single award of \$25,000 or more.

Subrecipients subject to the Safe Streets Act (as well as subrecipients receiving VOCA, VAWA and OJJDP funds) are exempt from the EEOP requirement, if the subrecipient:

- is a nonprofit organization, a medical or educational institution or an Indian Tribe; OR
- has less than 50 employees; OR,
- received a single award for less than \$25,000.

Subrecipients that are exempt from the EEOP requirement must still claim the exemption from the EEOP requirement. To claim the exemption or to complete your organization's EEOP utilization report, your organization should log into the online Equal Employment Opportunity (EEO) Reporting System. This system will allow you to create your organization's account, then prepare and submit an EEO Certification Form and if required, create and submit an EEO Utilization Report. You will also be able to access your organization's saved information in subsequent logins.

To claim the exemption from developing an EEOP, the subrecipient must complete Section A of the Certification Form and submit it online. Subrecipient organizations who claim the exemption will receive a "Thank You" acknowledgement from OCR once they have submitted their exemption request (Section A). Subrecipients who must submit additional EEOP information will receive a "congratulations" acknowledgement once they submit the required information.

If a subrecipient does not wish to use the tool, they should fill out the form and submit it to EEOPForms@usdoj.gov. Note, however, that the criteria provided for Section B are no longer correct, and that all recipients of awards of \$25,000 or more are required to prepare and submit an EEOP.

All subrecipients should save a screenshot/copy of their EEOP submission acknowledgement or a copy of the email sent to USDOJ at the address above. The Project Director or Authorizing Official should sign and date the screenshot or email copy. This document should be uploaded for Attachment 1.

Relevant Links

- EEOP Reporting Tool/EEO Reporting System Log-in
 - www.ojp.gov/about/ocr/eeop
- EEOP Reporting Tool Job Aid (Instructions on how to complete the online EEO reports or claim exemption)
 - https://www.ojp.gov/sites/g/files/xyckuh241/files/media/document/EEOReporTool_JobAid.pdf
- EEOP Frequently Asked Questions
 - <https://www.ojp.gov/program/civil-rights/equal-employment-opportunity-program-eeop-faqs>

Award Attachment 02 –
Certification Regarding Lobbying, Debarment, and
Suspension



U.S. DEPARTMENT OF JUSTICE
OFFICE OF JUSTICE PROGRAMS
OFFICE OF THE COMPTROLLER

CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 28 CFR Part 69, "New Restrictions on Lobbying" and 28 CFR Part 67, "Government-wide Debarment and Suspension (Nonprocurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Justice determines to award the covered transaction, grant, or cooperative agreement.

1. LOBBYING

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 28 CFR Part 69, for persons entering into a grant or cooperative agreement over \$100,000, as defined at 28 CFR Part 69, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure of Lobbying Activities," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subgrants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS (DIRECT RECIPIENT)

As required by Executive Order 12549, Debarment and Suspension, and implemented at 28 CFR Part 67, for prospective participants in primary covered transactions, as defined at 28 CFR Part 67, Section 67.510—

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal court, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a

public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

(c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an explanation to this application.

3. DRUG-FREE WORKPLACE (GRANTEES OTHER THAN INDIVIDUALS)

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67 Sections 67.615 and 67.620—

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about—

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will—

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Department of Justice, Office of Justice Programs, ATTN: Control Desk, 633 Indiana Avenue, N.W., Washington, D.C. 20531. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted—

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

Check if there are workplaces on file that are not identified here.

Section 67, 630 of the regulations provides that a grantee that is a State may elect to make one certification in each Federal fiscal year. A copy of which should be included with each application for Department of Justice funding. States and State agencies may elect to use OJP Form 4061/7.

Check if the State has elected to complete OJP Form 4061/7.

**DRUG-FREE WORKPLACE
(GRANTEES WHO ARE INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 28 CFR Part 67, Subpart F, for grantees, as defined at 28 CFR Part 67; Sections 67.615 and 67.620—

A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and

B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Department of Justice, Office of Justice Programs, ATTN: Control Desk, 810 Seventh Street NW., Washington, DC 20531.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications.

1. Grantee Name and Address:

2. Application Number and/or Project Name

3. Grantee IRS/Vendor Number

4. Typed Name and Title of Authorized Representative

5. Signature

6. Date

DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

| | | |
|---|---|--|
| 1. Type of Federal Action: <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance | 2. Status of Federal Action: <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award | 3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change For Material Change Only: year _____ quarter _____ date of last report _____ |
| 4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier _____, if known: Congressional District, if known: | 5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime: Congressional District, if known: | |
| 6. Federal Department/Agency: | 7. Federal Program Name/Description: CFDA Number, if applicable: _____ | |
| 8. Federal Action Number, if known: | 9. Award Amount, if known: \$ _____ | |
| 10. a. Name and Address of Lobbying Registrant (if individual, last name, first name, MI): | b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): | |
| 11. Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. | Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____ | |
| Federal Use Only: | | Authorized for Local Reproduction Standard Form LLL (Rev. 7-97) |

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.

(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Award Attachment 03 –
Single Audit Certification Form

GCC Subrecipient Single Audit Certification Form

Effective with fiscal years beginning on or after December 26, 2014, the Uniform Guidance requires all recipients of Federal funds to have a Single audit conducted when total Federal award expenditures are **\$750,000** or more during a fiscal year. Subpart F—Audit Requirements

This threshold includes Federal award expenditures from all sources, not just from GCC. For this reason, the certification form should be completed by a financial official in your organization who has access to grant records for your entire organization.

Your assistance is needed in completing and returning this form to GCC. Please upload the completed form to: Documents in each project and send the original back with your signed grant award.

Sub-recipient Name

Tax ID Number

Did your organization expend \$750,000 or more in total Federal awards in fiscal year 2018?

YES **A Single Audit is required.** _____
(Expected completion date)

*If the answer is YES above, your organization must submit a copy of your Single Audit reporting package to the Federal Audit Clearinghouse (FAC) within the earlier of 30 calendar days after receipt of the auditor's report(s), or nine months after the audit period. In addition, if the audit disclosed audit findings that relate to the award(s) provided by (GCC), your organization must concurrently submit the audit report package to GCC, along with a list of related findings and a corrective action plan to address those findings.

NO **A single audit is not required.**

I certify that, to the best of my knowledge, the above information is correct:

Name: _____ Title: _____
(Financial Officer)

Date: _____ E-mail: _____

Award Attachment 04 –
Certification of No Overdue Tax Debts



Grant Certification – No Overdue Tax Debts
NONGOVERNMENTAL ENTITIES ONLY

Date: _____

To: Agency Head and Chief Fiscal Officer

Certification:

We certify that _____ does not have any overdue tax debts, as defined by N.C.G.S. §105-243.1, at the federal, State, or local level. We further understand that any person who makes a false statement in violation of N.C.G.S. §143C-6-23(c) is guilty of a criminal offense punishable as provided by N.C.G.S. §143C-10-1.

Sworn Statement:

_____ and _____ being duly sworn, say that we are the Board Chair and Project Director, respectively, of _____ of _____ in the State of North Carolina; and that the foregoing certification is true, accurate and complete to the best of our knowledge and was made and subscribed by us. We also acknowledge and understand that any misuse of State funds will be reported to the appropriate authorities for further action.

Board Chair

Project Director

Sworn to and subscribed before me on the day of the date of said certification.

(Notary Signature and Seal) My Commission Expires: _____

If there are any questions, please contact the Governor's Crime Commission's Grants Management Director at (919) 733-4564 or you may contact the North Carolina Office of State Budget and Management, NCGrants@osbm.nc.gov - (919) 807-4795.

G.S. §105-243.1 defines: "Overdue tax debt. – Any part of a tax debt that remains unpaid 90 days or more after the notice of final assessment was mailed to the taxpayer. The term does not include a tax debt, however, if the taxpayer entered into an installment agreement for the tax debt under G.S. 105-237 within 90 days after the notice of final assessment was mailed and has not failed to make any payments due under the installment agreement."

Award Attachment 05 –
Employment Eligibility Verification Certification



Employment Eligibility Verification Certification

I hereby certify that, as part of the hiring and contracting processes, the employment eligibility of all individuals that are currently hired and/or contracted (or will be hired) and funded (in whole or in part) with grant funds awarded from the N.C. Governor's Crime Commission, has been verified (or will be verified) consistent with the provisions of 8 U.S.C. § 1324a(a)(1) and (2).

I furthermore certify that:

a. All persons, who are or will be involved in activities under this award, have been notified or will be notified of both (1) the requirement for verification of employment eligibility, and (2) the associated provisions in 8 U.S.C. § 1324a(a)(1) and (2) that, generally speaking, make it unlawful, in the United States, to hire (or recruit for employment) certain aliens.

b. As part of the recordkeeping for this award (including pursuant to 2 CFR §200 - Uniform Requirements), records are maintained and will be maintained of all employment eligibility verifications pertinent to compliance with this award condition in accordance with Form I-9 record retention requirements, as well as records of all pertinent notifications and trainings.

c. For purposes of satisfying the requirement of verification of employment eligibility, our agency may also choose to participate in and use, E-Verify (www.e-verify.gov). An appropriate person, authorized to act on behalf of our agency, may use E-Verify (and will subsequently follow the proper E-Verify procedures, including those procedures related to a "Tentative Non-confirmation" or a "Final Non-confirmation") to confirm employment eligibility for all individuals that are currently hired and/or contracted (or will be hired and/or contracted) and funded (in whole or in part) with grant award funds.

d. I understand that these records may be monitored by the federal awarding agency and/or GCC at any time and will retain copies of the records in accordance with federal record retention requirements.

Project Name _____ Project Number _____

Agency _____

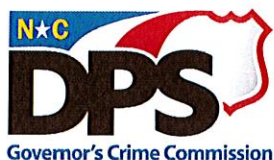
Authorizing Official Signature _____ Printed Name _____ Date _____

Financial Officer Signature _____ Printed Name _____ Date _____

Project Director Signature _____ Printed Name _____ Date _____

Award Attachment 06 –

Certification of Advance Determination of Suitability for
Individuals Interacting with Participating Minors



Advance Determination of Suitability for Individuals Interacting with Participating Minors

On behalf of the Subrecipient, and in support of this grant agreement, I certify under penalty of perjury to the U.S. Department of Justice ("Department"), that all of the following are true and correct:

I have the authority to make the following representations on behalf of myself and the subrecipient organization.

I certify that this organization will implement processes to make advance determination of suitability for all individuals who may interact with participating minors as part of programs/activities funded (in whole or in part) with funds awarded from the N.C. Governor's Crime Commission (GCC) or included in the GCC approved budget in accordance with the information provided.

1. Advance determination regarding suitability. The recipient (and any subrecipient at any tier) may not permit any covered individual (to include employees, consultants, contractors, employees of a contractor, trainees, volunteers, and/or teachers) to interact with any participating minor in the course of activities under the award, unless the recipient or subrecipient first has made a written determination of the suitability of that individual to interact with participating minors, based on current and appropriate information as described in paragraph 3.E., and taking into account the factors and considerations described in paragraph 4.

2. Updates and reexaminations

A. The recipient (or subrecipient) must, at least every five years, update the searches described reexamine the covered individual's suitability determination in light of those search results, and, if appropriate, modify or withdraw that determination.

B. The recipient also must reexamine a covered individual's suitability determination upon learning of information that reasonably may suggest unsuitability and, if appropriate, modify or withdraw that determination.

3.. "Current and appropriate information"

In addition to information resulting from checks or screening required by applicable federal, state, tribal, or local law, and/or by the recipient's (or subrecipient's) written policies and procedures, current and appropriate information includes the results of all required searches listed below, each of which must be completed **no earlier than six months before the determination regarding suitability.** I understand that the following searches are mandatory!!

(1) Public sex offender and child abuse websites/registries

A search (by current name, and, if applicable, by previous name(s) or aliases), of the pertinent and reasonably-accessible federal, state, and (if applicable) local and tribal sex offender and child abuse websites/public registries, including--

- (a) the Dru Sjodin National Sex Offender Public Website (www.nsopw.gov);
- (b) the website/public registry for each state (and/or tribe, if applicable) in which the individual lives, works, or goes to school, or has lived, worked, or gone to school at any time during the past five years; and
- (c) the website/public registry for each state (and/or tribe, if applicable) in which the individual is expected to, or reasonably likely to, interact with a participating minor in the course of activities under the award.

(2) Criminal history registries and similar repositories of criminal history records

For each individual at least 18 years of age who is a covered individual under this FY 2019 award, a fingerprint search (or, if the recipient or subrecipient documents that a fingerprint search is not legally available, a name-based search, using current and, if applicable, previous names and aliases) (-- encompassing at least the time period beginning five calendar years preceding the date of the search request -- of pertinent state (and, if applicable, local and tribal) criminal history registries or similar repositories, including--

- (a) the criminal history registry for each state in which the individual lives, works, or goes to school, or has lived, worked, or gone to school at any time during the past five years; and
- (b) the criminal history registry for each state in which he or she is expected to, or reasonably likely to, interact with a participating minor in the course of activities under the award.

4.. Factors and considerations for determining suitability.

A. In addition to the factors and considerations that must or may be considered under applicable federal, state, tribal, or local law, and under the recipient's (or subrecipient's) written policies and procedures, in making a determination regarding suitability, the recipient (or subrecipient) must consider the current and appropriate information as collected within the applicable timeframes.

In particular (unless applicable law precludes it), with respect to either an initial determination of suitability or a subsequent reexamination, the recipient (or subrecipient) may not determine that a covered individual is suitable to interact with participating minors in the course of activities under the award if the covered individual--

- (a) Withholds consent to a criminal history search required by this condition;
- (b) Knowingly makes (or made) a false statement that affects, or is intended to affect, any search required by this condition;
- (c) Is listed as a registered sex offender on the Dru Sjodin National Sex Offender Public Website;

(d) To the knowledge of the recipient (or subrecipient), has been convicted -- whether as a felony or misdemeanor -- under federal, state, tribal, or local law of any of the following crimes (or any substantially equivalent criminal offense, regardless of the specific words by which it may be identified in law):

- sexual or physical abuse, neglect, or endangerment of an individual under the age of 18 at the time of the offense;
- rape/sexual assault, including conspiracy to commit rape/sexual assault;
- sexual exploitation, such as through child pornography or sex trafficking;
- kidnapping;
- voyeurism; or

(e) Is determined by a federal, state, tribal, or local government agency not to be suitable.

Furthermore, I certify that I understand that these records may be monitored by the federal awarding agency and/or GCC at any time and will retain copies of the records in accordance with federal record retention requirements.

Project Name

Project Number

Agency

Authorizing Official Signature

Printed Name

Date

Financial Officer Signature

Printed Name

Date

Project Director Signature

Printed Name

Date

Award Attachment 07 –
NCID Acceptable Use Policy



N.C. Department of Public Safety, Governor's Crime Commission NCID Acceptable Use Policy

Section 1. Application

This policy applies to any state employee, contractor, **sub-recipient**, or **third party** who uses any device, whether state-owned or personal, to connect to the State Network. G.S. §143B—1336(a)(5) defines the State Network as “any connectivity designed for the purpose of providing Internet Protocol transport of information for State agencies.” State law also requires the Department of Information Technology (DIT) to manage the State Network.

Section 2. Requirements

1. Users may not connect personal devices to the State Network without express written permission from the agency head or the agency head’s designee. This requirement does not apply to users who connect to the State Network through a state-supplied “guest” Wi-Fi network.
2. Personally owned “smart” devices may not be connected to the State Network. “Smart” devices, commonly referred to as the “Internet of Things,” include such devices as thermostats, wearable technologies, or appliances.
3. All devices connected to the State Network must have updated malware/anti-virus protection.
4. **Users must not attempt to access any data, documents, email correspondence, and programs contained on systems for which they do not have authorization.**
5. Systems administrators and authorized users must not divulge remote connection information or other access points to information technology resources to anyone without proper authorization.
6. **Users must not share their account(s), passwords, Personal Identification Numbers (PIN), Security Tokens (i.e. Smartcard), or other similar information or devices used for identification and authorization purposes.**
7. Users must not make unauthorized copies of copyrighted or state-owned software.
8. **Users must ensure all files downloaded from an external source to the State Network or any device connected to the State Network, including a diskette, compact disc (CD), USB flash drive, or any other electronic medium, is scanned for malicious software such as viruses, Trojan horses, worms or other malicious code.**
9. Users must ensure that the transmission or handling of personally identifiable information (PII) or other sensitive data is encrypted or has adequate protection.
10. Users may not download, install or distribute software to state-owned devices unless it has been approved by the agency head or the agency head’s designee.
11. Users must not download State data to personally owned devices unless approved by the agency head or the agency head’s designee.
12. Users must not purposely engage in activity that is illegal according to local, state or federal law, or activity that may harass, threaten or abuse others, or intentionally access, create, store or transmit material which may be deemed to be offensive, indecent or obscene.
13. Users accessing the State Network through a Local Area Network (LAN) must avoid unnecessary network traffic and interference with other users. Specific prohibitions include, but are not limited to, the following:
 - (a) Unsolicited commercial advertising by public employees and State Network users. For the purpose of this policy, “unsolicited commercial advertising” includes any transmission initiated by a vendor, provider, retailer, or manufacturer of goods, products, or services, or by a third party retained by, affiliated with, or related to the vendor, provider, retailer, or manufacturer that describes goods, products, or services. This prohibition does not include the following:

- (i) discussions of a product or service's relative advantages and disadvantages by users of those products or services (unless the user is also the vendor, retailer, or manufacturer, or related to or affiliated with the vendor, provider, retailer, or manufacturer);
 - (ii) responses to questions, but only if such responses are direct replies to those who inquired via electronic mail, or
 - (iii) mailings to individuals or entities on a mailing list so long as the individual or entity voluntarily placed his/her name on the mailing list.
- (b) Any other type of mass mailing by employees and others accessing the State Network through the agency LAN that does not pertain to governmental business or a state-sponsored activity.
14. Users accessing the State Network through an agency LAN must only access Internet-streaming sites as consistent with the mission of the agency for the minimum amount of time necessary.
 15. Users must not engage in activity that may degrade the performance of information resources, deprive an authorized user access to resources, obtain extra resources beyond those allocated, or circumvent information security measures.
 16. Users must not download, install or run security programs or utilities such as password cracking programs, packet sniffers, or port scanners that reveal or exploit weaknesses in the security of information technology resources unless approved in writing by the agency head or the agency head's designee.
 17. Information technology resources must not be used for personal benefit, political activity, unsolicited advertising, unauthorized fund raising, personal business ventures, or for the solicitation of performance of any activity that is prohibited by any local, state or federal law.
 18. **Access to the Internet from state-owned, home based, devices must adhere to all acceptable use policies. Employees must not allow family members or other non-employees to access nonpublic accessible information systems.**
 19. Users must report any weaknesses in computer security to the Grant Management Specialist for follow-up investigation. Weaknesses in computer security include unexpected software or system behavior, which may indicate an unauthorized disclosure of information or exposure to security threats.
 20. **Users must report any incidents of possible misuse or violation of the Acceptable Use Policy.**
 21. **Users have a responsibility to promptly report the theft, loss or unauthorized disclosure of information.**

Section 3. Violations

Violation of this policy could result in disciplinary action, termination, loss of information resources and criminal prosecution.

Section 4. References

The following sections in the Statewide Information Security Manual provide additional guidance in the appropriate use of State information technology resources.

- 020201 Accessing State Resources in an Acceptable Way*
- 030301 Sending and Receiving Electronic Mail (Email)*
- 030302 Using the Internet for Work Purposes*
- 030303 Downloading Files and Information from the Internet*
- 030307 Filtering Inappropriate Material from the Internet*
- 040102 Implementing New / Upgraded Software*

I certify that I have read and understand the NCID Acceptable Use Policy and that I will ensure compliance with this policy.

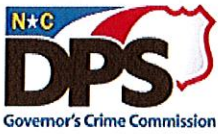
Signature, Project Director

Date

Printed Name

Title

Award Attachment 08 –
Confidentiality Assurance Certification



N.C. Governor's Crime Commission
Acknowledgement of Compliance with Confidentiality
and Privacy Provisions

Under the program rules at 28 CFR 94.115 of the Victims of Crime Act, Section 40002(b)(2) of the Violence Against Women Act, as amended (42 U.S.C 13925(b)(2)), and 42 U.S.C 5676 Section 299E of the Juvenile Justice and Delinquency Prevention Act, subgrantees are required to meet the following terms in regard to nondisclosure of confidential or private information and to document their compliance. By signature on this form, applicants for grants from the Office of Justice programs acknowledge that that they are required to comply with these provisions, and will create and maintain documentation of compliance, such as policies and procedures for the release of victim information.

- (A) In general: In order to ensure the safety of juveniles, crime victims, and adult, youth, and child victims of domestic violence, dating violence, sexual assault, or stalking, and their families, grantees and subgrantees under this subchapter shall protect the confidentiality and privacy of persons receiving services.
- (B) Nondisclosure: Subject to subparagraphs (C) and (D), grantees and subgrantees shall not—
 - (i) disclose, reveal, or release any personally identifying information or individual information collected in connection with services requested, utilized, or denied through grantees' and subgrantees' programs, regardless of whether the information has been encoded, encrypted, hashed, or otherwise protected; or
 - (ii) disclose, reveal, or release individual client information without the informed, written, reasonably time-limited consent of the person (or in the case of an unemancipated minor, the minor and the parent or guardian or in the case of legal incapacity, a court-appointed guardian) about whom information is sought, whether for this program or any other Federal, State, tribal, or territorial grant program, except that consent for release may not be given by the abuser of the minor, incapacitated person, or the abuser of the other parent of the minor.

If a minor or a person with a legally appointed guardian is permitted by law to receive services without the parent's or guardian's consent, the minor or person with a guardian may release information without additional consent.

- (C) Release: If release of information described in subparagraph (B) is compelled by statutory or court mandate—
 - (i) grantees and subgrantees shall make reasonable attempts to provide notice to persons affected by the disclosure of information; and
 - (ii) grantees and subgrantees shall take steps necessary to protect the privacy and safety of the persons affected by the release of the information.
- (CI) **Information sharing:**
 - (i) Grantees and subgrantees may share—
 - (I) nonpersonally identifying data in the aggregate regarding services to their clients and nonpersonally identifying demographic information in order to be compliant with Federal, State, tribal, or territorial reporting, evaluation, or data collection requirements;

- (II) court-generated information and law enforcement-generated information contained in secure, governmental registries for protection order enforcement purposes; and
- (III) law enforcement-generated and prosecution-generated information necessary for law enforcement and prosecution purposes.

(ii) In no circumstances may—

- (I) an adult, youth, or child victim of domestic violence, dating violence, sexual assault, or stalking be required to provide a consent to release his or her personally identifying information as a condition of eligibility for the services provided by the grantee or subgrantee;
- (II) any personally identifying information be shared in order to comply with Federal, tribal, or State reporting, evaluation, or data collection requirements, whether for this program or any other Federal, tribal, or State grant program.

(E) **Statutorily mandated reports of abuse or neglect:** Nothing in this section prohibits a grantee or subgrantee from reporting suspected abuse or neglect, as those terms are defined and specifically mandated by the State or tribe involved.

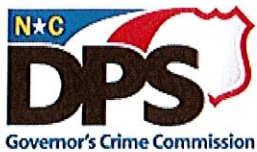
(F) **Oversight:** Nothing in this paragraph shall prevent the Governor’s Crime Commission from disclosing grant activities authorized through this award to the members of the Governor’s Crime Commission and other staff of the Governor’s Crime Commission. All disclosures shall protect confidentiality and omit personally identifying information, including location information about individuals.

(G) **Confidentiality assessment and assurances:** Grantees and subgrantees must document their compliance with the confidentiality and privacy provisions required under this section.

As the duly authorized representative of the applicant, I hereby acknowledge that this agency has received notice that if awarded funding, they will comply with the above statutory requirements. This acknowledgement shall be treated as a material representation of fact upon which the Department of Justice will rely if it determines to award the covered transaction, grant, or cooperative agreement.

| | | |
|---------------------------------|-----------------------------------|------|
| Project Name | Project Number | |
| Agency | | |
| Signature, Authorizing Official | Authorizing Official (Print Name) | Date |
| Signature, Project Director | Project Director (Print Name) | Date |

Award Attachment 09 –
Civil Rights Compliance Self Certification



Civil Rights Compliance Self-Certification for GCC Grantees

Completed for: _____
Organization Name

GCC Project Number: _____

I hereby certify that I have viewed the following online training modules offered by the U.S. Department of Justice, Office for Civil Rights (OCR) listed below.

I accept responsibility for ensuring that project staff members are trained and understand their responsibilities to comply with federal civil rights laws applicable to recipients of Department of Justice funds covered in the training materials cited below. I may do this by having project staff also view the OCR videos.

| Training Video (Found at: https://ojp.gov/about/ocr/assistance.htm) | Date Completed | # Staff Trained |
|--|-----------------------|------------------------|
| What is the Office for Civil Rights and What Laws Does It Enforce? | | |
| What are the Standard Assurances and How Does the Office for Civil Rights Enforce Civil Rights Laws? | | |
| What Obligations Do Recipients of Justice Department Funding Have to Provide Services to Limited English Proficient Persons? | | |
| What are the Civil Rights Laws that Affect Funded Faith-Based Organizations? | | |
| What Civil Rights Protections Do American Indians Have in Programs Funded by the Justice Department? What are the Obligations of Funded Indian Tribes? | | |

I also certify that I have read and understand “Civil Rights and Responsibilities for GCC Grantees” and that I will ensure that activities funded with federal grant funds under this project are in compliance with applicable civil rights laws. I understand that this self-certification is valid for the period of performance of the listed grant project.

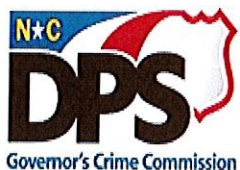
Signature, Project Director

Date

Printed Name

Title

Award Attachment 10 –
Civil Rights Compliance Checklist



NC Governor's Crime Commission Civil Rights Compliance Checklist

The Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) has authority to monitor civil rights compliance for grants awarded by the DOJ, such as those issued by the Bureau of Justice Assistance, Office for Victims of Crime, and Office on Violence Against Women. OCR has established monitoring standards for State Administering Agencies (e.g., GCC) of DOJ grants to ensure civil rights compliance by each subrecipient. This checklist was adapted from an OCR sample checklist and is part of the civil rights monitoring process. Please complete the checklist and upload a copy in GEMS to your project.

Name of Agency _____

Project Number: _____

Contact Information:

Name _____

Title _____

Phone _____

Email _____

Date of Submission _____

1. If the subrecipient is required to prepare an Equal Employment Opportunity Plan (EEOP) in accordance with 28 C.F.R. pt. 42, subpt. E, does the subrecipient have an EEOP on file for review? (If a subrecipient is unsure as to whether they are required to prepare an EEOP, they can find out at <https://ojp.gov/about/ocr/eeop.htm>, where they can also prepare and file their EEOP electronically).

Yes

No

If yes, on what date did the subrecipient complete the EEOP?

2. If the subrecipient is required to submit an EEOP Utilization Report to the Office for Civil Rights (OCR), Office of Justice Programs (OJP), U.S. Department of Justice (DOJ) in accordance with 28 C.F.R. pt. 42, subpt. E, has the subrecipient done so?

Yes

No

If yes, on what date did the subrecipient submit the EEOP Utilization Report?

3. Has the subrecipient submitted a Certification Form to the OCR certifying compliance with the EEOP requirements?

Yes

No

If yes, on what date did the subrecipient submit the Certification Form?

4. How does the subrecipient notify program participants and beneficiaries (e.g., through brochures, postings, or policy statements) that it does not discriminate in the delivery of services or benefits based on race, color, national origin, religion, sex, disability, and age (as well as sexual orientation and gender identity if the subrecipient receives funding from the Office on Violence Against Women (OVW) or under the Violence Against Women Act (VAWA) of 1994, as amended)?

Comments:

5. How does the subrecipient notify employees and prospective employees (e.g., through advertisements, recruitment materials, postings, dissemination of orders or policies) that it does not discriminate on the basis of race, color, national origin, religion, sex, and disability (as well as sexual orientation and gender identity if the subrecipient receives funding from OVW or under VAWA)?

Comments:

6. Does the subrecipient have written policies or procedures for notifying employees on how to file complaints alleging discrimination by the subrecipient?

Yes

No

If yes, explain these policies and procedures.

7. Does the subrecipient have written policies or procedures for notifying program participants and beneficiaries on how to file complaints alleging discrimination by the subrecipient, including how to file complaints with the NC Governor's Crime Commission and the OCR?

Yes

No

If yes, explain these policies and procedures.

8. If the subrecipient has fifty or more employees and receives DOJ funding of \$25,000 or more, has the subrecipient taken the following actions:

a. Adopted grievance procedures that incorporate due process standards and provide for the prompt and equitable resolution of complaints alleging a violation of the DOJ regulations implementing Section 504 of the Rehabilitation Act of 1973, found at 28 C.F.R. pt. 42, subpt. G, which prohibit discrimination on the basis of disability in employment practices and the delivery of services?

Yes No

b. Designated a person to coordinate compliance with the prohibitions against disability discrimination contained in 28 C.F.R. pt. 42, subpt. G?

Yes No

c. Notified program participants, beneficiaries, employees, applicants, and others that the subrecipient does not discriminate on the basis of disability?

Yes No

Comments:

9. If the subrecipient operates an educational program or activity, has the subrecipient taken the following actions:

a. Adopted grievance procedures that provide for the prompt and equitable resolution of complaints alleging a violation of the DOJ regulations implementing Title IX of the Education Amendments of 1972, found at 28 C.F.R. pt. 54, which prohibit discrimination on the basis of sex?

Yes No

b. Designated a person to coordinate compliance with the prohibitions against sex discrimination contained in 28 C.F.R. pt. 54?

Yes No

c. Notified applicants for admission and employment, employees, students, parents, and others that the subrecipient does not discriminate on the basis of sex in its educational programs or activities?

Yes

No

Comments:

10. Has the subrecipient complied with the requirement to submit to the OCR any adverse findings of discrimination against the subrecipient based on race, color, national origin, religion or sex that are the result of a due process hearing conducted by a federal or state court or a federal or state administrative agency?

Yes

No

Comments:

11. What steps has the subrecipient taken to provide meaningful access to its programs and activities to persons who have limited English proficiency (LEP)?

Comments:

12. Does the subrecipient have a written language-access policy on providing services to LEP persons?

Yes

No

13. Does the subrecipient conduct any training for its employees on the requirements of applicable federal civil rights laws?

Yes

No

Comments

14. Does the subrecipient provide federally funded services to eligible beneficiaries regardless of religion, a religious belief, a refusal to hold a religious belief, or a refusal to attend or participate in a religious practice?

Yes

No

If the subrecipient engages in explicitly religious activities, does it do the following:

- a. Separate the explicitly religious activities in either time or location from the federally funded activities?

Yes

No

- b. Ensure that participation in the explicitly religious activities is voluntary for participants in the federally funded program?

Yes

No

Comments:

15. If the subrecipient is a religious institution or a faith-based organization, does the subrecipient do the following:

- a. Provide appropriate notice to program beneficiaries or prospective beneficiaries that the subrecipient does not discriminate on the basis of religion in the delivery of services or benefits?

Yes

No

- b. Provide appropriate notice to program beneficiaries or prospective beneficiaries that if they object to the “religious character” of the subrecipient, the subrecipient will make a reasonable effort to find an acceptable alternative provider in close geographic proximity that offers comparable services?

Yes

No

- c. Keep a record of the requests for an alternative provider from beneficiaries or prospective beneficiaries who object to the subrecipient’s “religious character,” noting the subrecipient’s efforts to find an appropriate alternative provider and to follow up with the beneficiary or prospective beneficiary?

Yes

No

Comments:

16. If the subrecipient receives funding under VAWA or from OVW, does it serve male victims of domestic violence, dating violence, sexual assault, and stalking?

Yes

No

Comments:

17. If the subrecipient receives funding under VAWA or from OVW, does the subrecipient provide sex- segregated or sex-specific services?

Yes

No

If yes, describe how the services are sex-segregated or sex-specific.

If yes, has the subrecipient determined that providing services that are sex-segregated or sex specific is necessary to the essential operation of the program?

Yes

No

If yes, describe how the subrecipient determined that providing sex-segregated or sex-specific services is necessary to the essential operation of the program.